



# DATA SHARING AGREEMENT

## Between

**Department of Children, Equality, Disability, Integration  
and Youth (DCEDIY)**

**and**

**Department of Education (DE)**

## Pursuant to

**The Data Sharing and Governance Act 2019**

## For the purpose of

**Providing DE with data on early learning and care settings to allow  
the DE Early Years Education Inspectorate to carry out inspections  
of the quality of educational provision in those settings.**



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## Interpretation Table

DEFINITION	MEANING
<b>Data controller</b>	Has the meaning given to it by the General Data Protection Regulation (2016/679).
<b>Party disclosing data</b>	Shall mean the Party transferring personal data to the receiving Party or Parties.
<b>Party receiving data</b>	Shall mean the Party receiving personal data from the Party disclosing data.
<b>Data Protection Impact Assessment(DPIA)</b>	Means an assessment carried out for the purposes of <a href="#">Article 35</a> of the General Data Protection Regulation.
<b>GDPR</b>	Shall be taken as a reference to the General Data Protection Regulation (2016/679) including such related legislation as may be enacted by the Houses of the Oireachtas.
<b>Lead Agency</b>	Refers to the Party to this agreement who is responsible for carrying out the functions set out in 18(2), 18(3), 21(3), 21(5), 22(1), 55(3), 56(1), 56(2), 57(4), 58, 60(1) and 60(4) of the Data Sharing and Governance Act 2019.
<b>Personal Data</b>	Has the meaning given to it by the General Data Protection Regulation (2016/679).
<b>Personal data breach</b>	Has the meaning given to it by the General Data Protection Regulation (2016/679).
<b>Processing</b>	Has the meaning given to it by the General Data Protection Regulation (2016/679).
<b>Public Service Body (PSB)</b>	Means a Public Body as defined by section 10 of the Data Sharing and Governance Act 2019.
<b>Shared personal data</b>	Means data shared pursuant to this agreement.

Table 1.0



## Glossary

Acronym	Meaning
BSC	Business Systems and Controls
DCEDIY	Department of Children, Equality, Disability, Integration and Youth
DE	Department of Education
DE EYEI	Department of Education Early Years Education Inspectorate
DPIA	Data Protection Impact Assessment
ELC	Early Learning and Care Service
MOAU	Monitoring, Analysis and Outcomes Unit (Pobal)
ROPA	Records of Processing Activities
TPM	Trusted Platform Module

Table 1.1



# Data Sharing Agreement

## BETWEEN

Insert name of Lead Agency, having its registered address at:

LEAD AGENCY NAME	ADDRESS
<b>The Department for Children, Equality, Disability, Integration, and Youth (DCEDIY)</b>	Block 1, Miesian Plaza, 50-58 Baggot Street, Dublin 2, D02 XW14

## AND

Insert name(s) of Other Party/Parties to the agreement, having its registered address at:

PARTY NAME	ADDRESS
<b>Department of Education (DE)</b>	[Marlborough Street, Dublin 1, D01 RC96]

The Parties hereby agree that DCEDIY will take the role of Lead Agency for the purpose of this Data Sharing Agreement.

Each of the Parties to this agreement are data controllers in their own right when processing personal data on their own behalf, for their own purposes.



# 1. Evaluation for a Data Protection Impact Assessment (DPIA)

The completion of a DPIA can help data controllers to meet their obligations in relation to data protection law. [Article 35](#) of the GDPR sets out when a DPIA is required.

Data controllers should periodically re-evaluate the risk associated with existing processing activities to understand if a DPIA is now required.

## 1.1 Identifying if a DPIA is required

The below checklist can assist organisations to understand if they require a DPIA pursuant to Article 35 GDPR to support their data sharing agreement. The questions should be answered in relation to the entire project that the data share corresponds to. This ensures that Public Service Bodies (PSBs) have the opportunity to be transparent in the evaluation of risks in relation to the data required for this process.

The completion of a DPIA is relevant to this data sharing agreement as you will be asked to provide a summary of any DPIA carried out in [Section 16](#) of this document.

The questions below should be completed by the Lead Agency together with the Other Parties involved in this data sharing agreement. Please contact your DPO in relation to the requirement to carry out a DPIA.

	DOES THE PROCESS INVOLVE:	YES/NO
1.1.1	Processing being carried out prior to 25th May 2018?	YES

Table 1.1

If 'Yes' proceed to [1.2](#)  
If 'No' proceed to [1.1.2](#)

	DOES THE PROCESS INVOLVE:	YES/NO
1.1.2	A new purpose for which personal data is processed?	Choose Y/N
1.1.3	The introduction of new types of technology?	Choose Y/N

Table 1.2

If 'Yes' to either of the last two questions, proceed to [1.1.4](#).  
If 'No' to both of the last two questions, proceed to [1.2](#).

	DOES THE PROCESS INVOLVE:	YES/NO
1.1.4	Processing that is likely to result in a high risk to the rights and freedoms of natural persons?	Choose Y/N

Table 1.3

If 'Yes', then you are likely required to carry out a DPIA under [Article 35](#) GDPR.  
If 'No' proceed to [1.2](#).



## 1.2 Further Considerations

There are limited circumstances where a mandatory DPIA should be carried out, even where processing was underway prior to the GDPR coming into effect<sup>1</sup>.

	DOES THE PROCESS INVOLVE:	YES/NO
1.2.1	A systematic and extensive evaluation of personal aspects relating to natural persons which is based on automated processing, including profiling, and on which decisions are based that produce legal effects concerning individuals or similarly significantly affect individuals.	NO
1.2.2	A systematic monitoring of a publicly accessible area on a large scale.	NO
1.2.3	The Data Protection Commission has determined that a DPIA will also be mandatory for the following types of processing operation where a documented screening or preliminary risk assessment indicates that the processing operation is likely to result in a high risk to the rights and freedoms of individuals pursuant to GDPR <a href="#">Article 35(1)</a> :  <a href="#">Lists of Types of Data Processing Operations which require a DPIA.</a> <i>(if this hyperlink does not work, use the following url: <a href="https://www.dataprotection.ie/sites/default/files/uploads/2018-11/Data-Protection-Impact-Assessment.pdf">https://www.dataprotection.ie/sites/default/files/uploads/2018-11/Data-Protection-Impact-Assessment.pdf</a>)</i>	NO

Table 1.4

If 'Yes' to any then you are likely required to carry out a DPIA under [Article 35](#) GDPR.

If 'No', to all then a DPIA may not be required.

<sup>1</sup> <https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:02016R0679-20160504>



## 2. Purpose of the Data Sharing

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### 2.1 Framework

This Data Sharing Agreement sets out the framework for the sharing of personal data between the Parties and defines the principles and procedures that the Parties shall adhere to and the responsibilities the Parties owe to one another.

This agreement is required to ensure that any sharing of personal data is carried out in accordance with the GDPR and the Data Sharing and Governance Act 2019, and each Party agrees to be bound by this agreement until such time as the agreement is terminated, or the Party withdraws from the agreement.

The Parties shall not process shared personal data in a way that is incompatible with the relevant purposes and this agreement.

The Parties will ensure that the Data Sharing Agreement remains fit for purpose, accurate and up to date.

The Parties will actively monitor and periodically review the data sharing arrangement to ensure that it continues to be compliant with data protection law, that it continues to meet its objective, that safeguards continue to match any risks posed, that records are accurate and up to date, that there is adherence to the data retention period agreed and that an appropriate level of data security is maintained.

The Parties must address all recommendations made regarding this Data Sharing Agreement by the Data Governance Board.





## 2.2 Performance of a Function

Where a public body discloses personal data to another public body under this agreement, it shall be for the purpose of the performance of a function of the public bodies mentioned, and for one or more of the following purposes (please select):

No.	DESCRIPTION	Select
I	To verify the identity of a person, where one or more of the public bodies are providing or proposing to provide a service to that person	<input type="checkbox"/>
II	To identify and correct erroneous information held by one or more of the public bodies mentioned	<input type="checkbox"/>
III	To avoid the financial or administrative burden that would otherwise be imposed on a person to whom a service is being or is to be delivered by one or more of the public bodies mentioned where one of mentioned public bodies to collect the personal data directly from that person	<input type="checkbox"/>
IV	To establish the entitlement of a person to the provision of a service being delivered by one or more of the public bodies mentioned, on the basis of information previously provided by that person to one or more of the public bodies mentioned (or another public body that previously disclosed the information to one or more of the public bodies mentioned)	<input type="checkbox"/>
V	To facilitate the administration, supervision and control of a service, programme or policy delivered or implemented or being delivered or implemented, as the case may be, by, for or on behalf of one or more of the public bodies mentioned	<input checked="" type="checkbox"/>
VI	To facilitate the improvement or targeting of a service, programme or policy delivered or implemented or to be delivered or implemented, as the case may be, by, for or on behalf of one or more of the public bodies mentioned	<input checked="" type="checkbox"/>
VII	To enable the evaluation, oversight or review of a service, programme or policy delivered or implemented or being delivered or implemented, as the case may be, by, for or on behalf of one or more of the public bodies mentioned	<input checked="" type="checkbox"/>
VIII	To facilitate an analysis of the structure, functions, resources and service delivery methods of one or more of the public bodies mentioned	<input type="checkbox"/>

Table 2.2



## 2.3 Details about the Purpose

Provide details of the particular purpose of this Data Sharing Agreement.

PURPOSE	DESCRIPTION
<p>To facilitate the administration, supervision and control of a service, programme or policy delivered or implemented or being delivered or implemented, as the case may be, by, for or on behalf of one or more of the public bodies mentioned</p> <p>To facilitate the improvement or targeting of a service, programme or policy delivered or implemented or to be delivered or implemented, as the case may be, by, for or on behalf of one or more of the public bodies mentioned</p> <p>To enable the evaluation, oversight or review of a service, programme or policy delivered or implemented or being delivered or implemented, as the case may be, by, for or on behalf of one or more of the public bodies mentioned]</p>	<p><i>The purpose of this Data Sharing Agreement is to facilitate the sharing of data between the DCEDIY and the DE to enable the DE EYEI to carry out inspections of the quality of educational provision in early learning and care settings on behalf of the Minister for Children, Equality, Disability, Integration and Youth. The DE requires details of early learning and care settings funded by the DCEDIY in order to schedule and carry out inspections of those services.</i></p> <p><i>Pobal is the fund manager for ELC and SAC programmes on behalf of the DCEDIY and so hold the list of ELC services that are funded by the DCEDIY.</i></p> <p><i>The DE EYEI provide the setting owners and early years educators with feedback and a written report outlining the inspection findings and providing advice as to how educational provision in the setting can be developed further or improved</i></p>

Table 2.3



## 3. Data to be shared

### 3.1 Quality

The Parties will take all reasonable steps to ensure that any personal data processed under this agreement is accurate, kept up to date, and that data which is inaccurate, having regard to the purposes for which it was processed, is erased or rectified as soon as is practicable.

Shared personal data shall be limited to the personal data described in [table 3.4](#) to this agreement and will be shared only in the manner as set out in [table 11.2](#) therein. Where a party receiving data is notified of inaccurate data by the data subject, this party is obliged to notify the disclosing Party/Lead Agency.

### 3.2 Subject Rights

In so far as the shared personal data is processed by the Party/Parties receiving data, as a data controller, the Party/Parties receiving data will deal with data subjects in their exercising of rights set out in the GDPR, including but not limited to, the right of access, the right of rectification, erasure, restriction of processing and to data portability.

Data subjects have the right to obtain certain information about the processing of their personal data through a data subject access request.

Data subject access requests in relation to data processed by the Party/Parties receiving data will be dealt with by them directly. Data subject access requests in relation to data processed by the Party/Parties disclosing data prior to the transfer will be dealt with by them directly.

### 3.3 Sharing with Third Parties

The Party/Parties receiving data shall not share the shared personal data with any person who has not been authorised to process such data.

### 3.4 Detail of the information to be disclosed

Provide details of the personal data set to be disclosed and the detail of any non-personal data.

Note: If the non-personal data and personal data are linked together to the extent that the non-personal data becomes capable of identifying a data subject then the data protection rights and obligations arising under the GDPR will apply fully to the whole mixed dataset, even if the personal data represents a small part of the set.

	DESCRIPTION
Shared Personal Data	Tusla unique identifier DCEDIY unique identifier Setting name Eircode Address line 1 Address line 2 Address line 3 Address line 4 Phone number Verified Email address Website (if available) Name of owner / manager / contact person



	Co-ordinates of the service e
<b>Non-personal Data</b>	Pedagogical approach Language of operation Number of rooms in the setting Number of early years educators Number of ECCE learners in each academic year Number of children in service

*Table 3.4*



## 4. Function of the Parties

### 4.1 Function of the Parties

In table 4.1 below:

- i. Specify the function of the party disclosing data to which the purpose (as defined in [table 2.3](#)) of the data sharing relates
- ii. Specify the function of the party receiving data to which the purpose (as defined in [table 2.3](#)) of the data sharing relates.

PARTY	FUNCTION
i. Department of Children, Equality, Disability, Integration and Youth	<p>The Early Learning and Care and School-Age Childcare Division of DCEDIY, is responsible for ensuring access to high quality and affordable Early Learning and Care and School-Age Childcare. This includes the development of policy and legislation; the administration of a range of schemes (through Pobal) and the associated budgetary, governance and compliance functions; regulation of the Early Learning and Care and School-Age Childcare sector; and development of the workforce and improvement of the quality of services. The Division is also responsible for overseeing and reporting on the implementation of First 5, A Whole-of-Government Strategy for Babies, Young Children and their Families (2019-2028).</p>
ii. Department of Education	<p>The DE EYEI, on behalf of the Minister for Children, Equality, Disability, Integration and Youth, provides quality assurance services in relation to the education dimension of early learning and care settings receiving funding from DCEDIY. Authority to carry out inspections in the early learning and care sector is reinforced by the provisions of memoranda of understanding between the Departments and by contractual arrangements between DCEDIY and settings receiving funding from DCEDIY.</p>

Table 4.1



## 5. Legal Basis

### 5.1 Legal Grounds

For the purposes identified in this Data Sharing Agreement the Parties confirm that the sharing and further processing of the defined personal data is based on the legal grounds set out in 5.1.1 and 5.1.2.

#### 5.1.1 Appropriate Legislative Provisions for Sharing

Define the appropriate legal provision for sharing based on the following:

- i. processing is necessary for compliance with a legal obligation to which the controller is subject; (GDPR Art 6. 1 (c))
- ii. processing is necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller (GDPR Art 6. 1 (e))

Specify the legal obligation for sharing in the table below.

LEGISLATION	DESCRIPTION
<p>[(V) to facilitate the administration, supervision and control of a service, programme or policy delivered or implemented or being delivered or implemented, as the case may be, by, for or on behalf of the first or second mentioned public body;</p> <p>(VI) To facilitate the improvement or targeting of a service, programme or policy delivered or implemented or to be delivered or implemented, as the case may be, by, for or on behalf of one or more of the public bodies mentioned</p> <p>(VII) To enable the evaluation, oversight or review of a service, programme or policy delivered or implemented or being delivered or implemented, as the case may be, by, for or on behalf of one or more of the public bodies mentioned</p>	<p>[Section 13 of the Education Act gives the DE Inspectorate the authority to inspect all centres of education and evaluate the quality and effectiveness of the education provided. As of 2016, at the request of the Minister for Children and under the Memorandum of Agreement between the Inspectorate of the DE DCEDIY this has included the inspection by the Education Inspectorate of early educational provision and practice in early learning and care (ELC) settings funded by the State.</p> <p>These inspections allow the DE Early Years Inspectorate (EYEI) to monitor and improve the quality of Early learning and care provided by state funded services through providing the setting owners and early years educators with feedback and a written report outlining the inspection findings and providing advice as to how educational provision in the setting can be developed further or improved.</p> <p>The DE EYEI provide trend updates to the DCEDIY on the quality of early educational provision and practice in ELC settings.</p> <p>The DE EYEI run a series of workshops using the findings of their inspections to identify and address issues of quality in provision that they encounter regularly. These are streamed to ELC services and recorded for their use.</p>

Table 5.1.1



5.1.2 Appropriate Legislative Provisions for Further Processing

Specify the appropriate legal provision for further processing based on the following:

- iii. The DE EYEI do not process the data any further.

LEGISLATION	DESCRIPTION
[N/A	N/A

Table 5.1.2



## 6. Impetus for Data Sharing

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Specify the impetus (the motivation or where benefits will be realised) in relation to the data shared under this agreement.

THE IMPETUS FOR THE DISCLOSURE OF DATA WILL COME FROM:	TICK AS APPROPRIATE
i. Data subject	<input type="checkbox"/>
ii. Public Body	<input checked="" type="checkbox"/>

Table 6.0





## 7. Categories of Data Shared

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The personal data shared may be in relation to individual data subjects and/or classes of data subjects. Classes of data subject may be defined by the parties involved and some examples might be customers, vendors, suppliers, visitors, etc.

Aggregated data is information gathered and expressed in a summary form for purposes such as statistical analysis, and so is not personal data for the purposes of data protection law and GDPR and is not the same as classes of data subject.

Select from the below table and comment as appropriate.

CATEGORY		COMMENT
Individual Data Subject	<input type="checkbox"/>	
Classes of Data Subjects	<input checked="" type="checkbox"/>	ELC services registered with Tusla

Table 7.0



## 8. Duration and Frequency

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### 8.1 Duration

Define the start and end dates of the information transfer:

- i. The Data Sharing Agreement will commence on 15<sup>th</sup> December 2022 and continue until the parties agree to terminate agreement.

### 8.2 Frequency

Indicate the type of transfer that will be required with a description.

TYPE		DESCRIPTION
Once off	<input type="checkbox"/>	
Frequent/regular updates	<input checked="" type="checkbox"/>	Lists should be sent monthly
Other frequency	<input type="checkbox"/>	

Table 8.2



## 9. How data will be processed

### 9.1 Obligations of the Parties in Respect of Fair and Lawful Processing

Each Party shall ensure that it processes the shared personal data fairly and lawfully. Each will comply with the requirements of the Data Protection Act 2018, GDPR and any legislation amending or extending same, in relation to the data exchanged.

Each Party undertakes to comply with the principles relating to the processing of personal data as set out in Article 5 GDPR, in the disclosing of information under this Data Sharing Agreement.

Both Parties shall, in respect of shared personal data, ensure that they provide sufficient information to data subjects in order for them to understand what components of their personal data the Parties are sharing, the purposes for the data sharing and either the identity of the body with whom the data is shared or a description of the type of organisation that will receive the personal data.

### 9.2 Description of Processing

Include a description of how the disclosed information will be processed by each receiving party.

DESCRIPTION OF PROCESSING	
Department of Education	Lists and details of early learning and care settings will be used to allow DE EYEI to schedule and carry out inspections of the quality of provision in those settings.

Table 9.2

### 9.3 Further Processing

- i. Specify any further processing by the Party or Parties receiving data of the personal data disclosed by the disclosing body under this Data Sharing Agreement.

SPECIFY FURTHER PROCESSING	
Department of Education	The DE EYEI do not process the data any further.

Table 9.3.1



## 10. Restrictions

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Specify any restrictions on the disclosure of information after the processing by the Party or Parties receiving data to the personal data disclosed by the disclosing body under this Data Sharing Agreement. Give a description of the restrictions, if any, which apply to the further disclosure of the information in table 10.0 below.

RESTRICTIONS ON DISCLOSURE AFTER PROCESSING	
<b>Department of Education</b>	DE do not share the shared personal data with a third party outside the terms of this agreement without the express written permission of DCEDIY or where so required, facilitated or permitted by law.

*Table 10.0*



## 11. Security Measures

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### 11.1 Security and Training

Both Parties shall adhere to the procedures set out in [table 11.2](#) below, regarding the transfer and receipt of data.

The Party/Parties receiving data agree, in accordance Article 32 of the GDPR, to implement appropriate technical and organisational measures to protect the shared personal data in their possession against unauthorised or unlawful processing, in particular from accidental or unlawful destruction, loss, alteration, unauthorised disclosure of, or access to the shared personal data transmitted, stored or otherwise processed.

This may include, but is not limited to:

- Policies, guidelines and procedures governing information security.
- Password protection for computer access.
- Automatic locking of idle PCs.
- Appropriate antivirus software and firewalls used to protect integrity and security of electronically processed data.
- Unique identifiers for every user with access to data.
- Employees have access only to personal data required for them to do their jobs.
- Appropriate security where remote access is allowed.
- Encryption of data held on portable devices.
- Data breach procedures.
- Appropriate physical security.
- Staff training and awareness.
- Monitoring of staff accessing data.
- Controlling physical access to IT systems and areas where paper-based data are stored.
- Adopting a clear desk policy.
- Appropriate techniques for destruction of data.
- Having back-ups of data off-site.

Both Parties shall ensure that the security standards appropriate to the transfer of personal data under this agreement are adhered to.

The Party/Parties receiving data shall ensure that all persons who have access to and who process the personal data are obliged to keep the personal data confidential.

The Party/Parties receiving data shall ensure that employees having access to the data are properly trained and aware of their data protection responsibilities in respect of that data.

Access to the data supplied by the Party disclosing data will be restricted to persons on the basis of least privilege, sufficient to allow such persons carry out their role.

Each Party will keep the data secure and ensure that it is transferred securely in accordance with the procedures of this agreement.



### 11.2 Security Measures

For the purpose of this agreement, particular regard should be given to the data safeguards outlined in the following sections and subsections:

- 11.2.1 – Lead Agency/Party Disclosing Data
- 11.2.2 – Party/Parties Receiving Data
- 11.2.3 – Data Breaches and Reporting

#### 11.2.1 Lead Agency/ Party Disclosing Data

The following questions should be completed by the Lead Agency/ party disclosing data in the data sharing arrangement.

All questions should be answered in a manner that does not compromise any security measures in place.

11.2.1.1	TRANSMISSION	COMPLIES	DOES NOT COMPLY
	<p>When data is being transmitted from the Lead Agency/party disclosing data to the party/parties receiving data, robust encryption services (or similar) are in use.</p> <p>Please provide details.</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		The lists are sent in an email over an encrypted channel.	

Table 11.2.1

11.2.1.2 – SECURITY STATEMENT
<p>Give an outline of the security measures to be deployed for transmission of personal data, in a manner that does not compromise those security measures.</p> <p>You may also provide details of additional measures in place for the sharing of data that are relevant to this arrangement.</p>
<p>Data is being collated by MOAU Unit and sent to an agreed Pobal contact in BSC team in a password protected file over internal network. All Pobal users use 2 factor authentication to log into their email system. BCS representative sends the email to agreed contact on Department of Education site.</p> <p>Password has been communicated by a different method (phone or SMS).</p> <p>According to Pobal’s standards document of information handling, all confidential and strictly confidential information will be sent over an encrypted channel from the 16<sup>th</sup> December 2022.</p> <p>Please note 2 out of 3 personal data categories (phone number and owner name) are publicly available e.g. on Tusla or Pobal maps sites.</p>



11.2.1.3 SECURITY SPECIALIST FOR LEAD AGENCY	YES/NO
Please confirm your security specialist has reviewed this Data Sharing Agreement and that their advice has been taken into consideration.	YES

Table 11.2.2

### 11.2.2 Party/Parties Receiving Data

The following questions should be completed by the Party receiving the disclosure of data as part of this Data Sharing Agreement.

Where a 'not applicable' response is included, ensure information is provided as to why.

All questions should be answered in a manner that does not compromise any security measures in place.

11.2.2	PARTY/PARTIES RECEIVING DATA STATEMENTS	COMPLIES	DOES NOT COMPLY	NOT APPLICABLE
11.2.2.1	In relation to the disclosed data - access permissions and authorisations are managed appropriately and periodically revalidated.  Please provide details for all non-complying or 'not applicable' statements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<i>[Please provide details for all non-complying or 'not applicable' statements.]</i>		
11.2.2.2	Appropriate controls are in place if the disclosed data is accessed remotely.  Please provide details.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Corporate laptops are provided to Early Years Inspectors which are secured and encrypted. For remote access Citrix is currently used with Multi Factor Authentication.		
11.2.2.3	A least privileged principle (or similar) is in place to ensure that users are authenticated proportionate with the level of risk associated to the access of the data.  Please provide details.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		[Users are authenticated proportionate with the level of risk associated to the access of the data. Access to the data is restricted to inspectors who are employed for the direct purpose for which the data is being shared – Inspection and inspection planning		



<p><b>11.2.2.4</b></p>	<p><b>Appropriate controls and policies are in place, which minimise the risk of unauthorised access (e.g. through removable media).</b></p> <p>Please provide details of the protections in place and how they are managed.</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<p>[The Department implements multiple cybersecurity protocols and measures, as a matter of policy we do not disclose details of systems and processes which could in any way compromise the Department's information security posture. In particular, it is not considered appropriate to disclose information on my Department's cyber activities and the resourcing of same for both security and operational reasons..]</p>
<p><b>11.2.2.5</b></p>	<p><b>Data is encrypted at rest on mobile devices such as laptops and removable media.</b></p> <p>Please provide details for all non-complying or 'not applicable' statements.</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<p>TPM 2.0 Chip present on all PCs and Laptops and both are encrypted as part of the build process. Android Smart phones are encrypted natively.</p>
<p><b>11.2.2.6</b></p>	<p><b>There are policies, training and controls in place to minimise the risk that data is saved outside the system in an inappropriate manner or to an inappropriate, less secure location.</b></p> <p>Please provide details.</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<p>The following policies are in place:          Data Protection Policy          ICT User Policy.          Information Security Policy.          Policy on Removing Files for Remote Working.</p>
<p><b>11.2.2.7</b></p>	<p><b>Do you have policy in place that protects data from accidental erasure or other loss?</b></p> <p>Please provide details.</p>	<p>Yes - Data stored on departmental file shares is backed up to media and retained.]</p>			
<p><b>11.2.2.8</b></p>	<p><b>Is data stored in a secure location only for as long as necessary and then securely erased?</b></p> <p>Please provide details.</p>	<p>[Yes - The data is stored in a secure location. The Department maintains a comprehensive schedule of Records of Processing Activities (ROPA) as required under Article 30 of the GDPR, which specifies the retention periods</p>			





		<p>of the information resulting from the processing of that data, which may be updated periodically and notified to the other party as appropriate. At the end of the retention periods set out in each Department's ROPA, any relevant data will be destroyed in an appropriate, secure manner, subject to each party's respective data retention policies. Destruction schedules are to be maintained in line with the necessary National Archives permissions.</p>
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Table 11.2.3

**11.2.2.9 – SECURITY STATEMENT**

**Give an outline of the security measures to be deployed for the storage and accessing of personal data, in a manner that does not compromise those security measures.**

You may also provide details of additional measures in place that are relevant to this arrangement.

The Department implements multiple Cybersecurity protocols and measures including 24x7 IT Security Monitoring, User Awareness, Perimeter Security, Anti-virus/Anti-Malware, Email and Web filtering, System Patching, Risk Management, Policies, Regular Vulnerability Assessments/Penetration Testing, Mobile Device Management, Access Management, Incident Management, Event Monitoring, Information Security Governance, GDPR Awareness, Disaster Recovery, Offline backups, Supplier Management and Encryption.

As a matter of policy we do not disclose details of systems and processes which could in any way compromise the Department's information security posture.

The Department's Data Protection Policy sets out how the Department processes personal data and ensures that staff understand the rules governing the use of personal data to which they have access in the course of their work. In addition to this, the Department has published a wide range of policies, procedures and guidance material to assist business units in being compliant with data protection legislation. The Department has appointed a Data Protection Officer at Principal Officer level. All Department staff were provided with access to GDPR awareness training and an overview of GPDR is provided to all new staff as part of their induction. In addition, tailored training is provided to business units on an ongoing basis.

<b>11.2.2.10 SECURITY SPECIALIST FOR PARTY/PARTIES RECEIVING DATA</b>	<b>YES/NO</b>
<b>Please confirm the security specialist(s) Party/Parties receiving have reviewed this Data Sharing Agreement and that their advice has been taken into consideration.</b>	YES

Table 11.2.4



### 11.3 Data Breaches and Reporting

If a personal data breach occurs after the data is transmitted to the Party/Parties receiving data, the Party/Parties receiving data will act in accordance with the Data Protection Commission's Breach Notification Process and in accordance with GDPR requirements.



## 12. Retention

Define the retention requirements for the disclosed information for the duration of the Data Sharing Agreement and in the event the agreement is terminated, for:

1. the information to be disclosed and
2. the information resulting from the processing of that disclosed information

INFORMATION TYPE	RETENTION REQUIREMENTS
<p><b>1. Information to be disclosed</b></p>	<p>Each Department will maintain a Record of Processing Activities (ROPA) as required under Article 30 of the GDPR, which specifies the retention periods of the shared personal data and which may be updated periodically and notified to the other party as appropriate.</p> <p>At the end of the retention periods set out in each Department's ROPA, any relevant data will be destroyed in an appropriate, secure manner, subject to each party's respective data retention policies. Destruction schedules are to be maintained in line with the necessary National Archives permissions.</p>
<p><b>2. Information resulting from the processing of the data</b></p>	<p>Each Department will maintain a Record of Processing Activities (ROPA) as required under Article 30 of the GDPR, which specifies the retention periods of the information resulting from the processing of that data, which may be updated periodically and notified to the other party as appropriate.</p> <p>At the end of the retention periods set out in each Department's ROPA, any relevant data will be destroyed in an appropriate, secure manner, subject to each party's respective data retention policies. Destruction schedules are to be maintained in line with the necessary National Archives permissions.</p>

Table 12.0



## 13. Methods Used to Destroy/Delete Data

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Detail how information will be destroyed or deleted at the end of the retention period as defined in the Data Sharing Agreement, for:

1. the information to be disclosed and
2. the information resulting from the processing of that disclosed information

INFORMATION TYPE	DESCRIPTION
1. Information to be disclosed	Removing all data which is electronically held in such a way that it can never be retrieved from the device on which it is held
2. Information resulting from processing of the data	Removing all data which is electronically held in such a way that it can never be retrieved from the device on which it is held

Table 13.0



## 14. Withdrawal from Agreement

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### 14.1 Procedure

Each Party commits to giving a minimum of 90 days' notice of its intention to withdraw from or terminate this Data Sharing Agreement.

Each Party disclosing personal data pursuant to this Agreement reserves the right to withdraw, without notice, access to such data where that Party has reason to believe the conditions of this Data Sharing Agreement are not being observed. Each Party disclosing data will accept no responsibility for any consequences arising from the exercise of this right.

Where the disclosing Party is subsequently satisfied that the conditions of the Data Sharing Agreement are being observed, access will be restored forthwith.

Where access to shared personal data is withdrawn, the withdrawing Party shall provide to the other Party reasons for that withdrawal as soon as is practicable thereafter. Where there are only 2 Parties, withdrawal by either one shall be considered a termination of the agreement. Where an agreement has multiple Parties and one withdraws, the Lead Agency should update the schedule and inform the other Parties to the agreement.

Where a Data Sharing Agreement expires or is terminated, the Lead Agency shall notify the Minister in writing within 10 days of the withdrawal. The Lead Agency shall also notify the Data Governance Board as soon as practicable after such expiration or termination, as the case may be.

### 14.2 Severance

If any provision of this agreement (or part of any provision) is found by any court or other authority of competent jurisdiction to be invalid, illegal or unenforceable, that provision or part-provision shall, to the extent required, be deemed not to form part of this agreement, and the validity and enforceability of the other provisions of this agreement shall not be affected.



## 15. Other Matters

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### 15.1 Variation

No variation of this agreement shall be effective unless it is contained in a valid draft amendment agreement executed by the Parties to this Data Sharing Agreement in accordance with the procedures and requirements set out in Part 9, chapter 2 of the Data Sharing and Governance Act 2019.

### 15.2 Review of Operation of the Data Sharing Agreement

The Parties shall review the operation of the Data Sharing Agreement on a regular basis, with each such review being carried out on a date that is not more than 5 years from:

- i. in the case of the first such review, the date on which the Data Sharing Agreement came into effect, and
- ii. in the case of each subsequent review, the date of the previous review. A review under s.20(1) shall consider the impact of the technical, policy and legislative changes that have occurred since the date of the previous review under s.20(1).

Where the Parties to the Data Sharing Agreement consider that it is appropriate following completion of a review they shall prepare an amended Data Sharing Agreement to take account of the technical, policy and legislative changes that have occurred since the date of the previous review or the effective date. The amended agreement will be executed by the Parties in accordance with the procedures and requirements set out in Part 9, chapter 2 of the Data Sharing and Governance Act 2019.

### 15.3 Jurisdiction

This agreement and any dispute or claim (including non-contractual disputes or claims) arising out of or in connection with it or its subject matter or formation shall be governed by and construed in accordance with the laws of the Republic of Ireland.

### 15.4 Indemnity

The Party/Parties receiving data shall indemnify and keep indemnified the Party/Parties disclosing data, in full, from and against all claims, proceedings, actions, damages, losses, penalties, fines, levies, costs and expenses, whether direct or indirect and all consequential or indirect loss howsoever arising out of, in respect of or in connection with any breach by the Party/Parties receiving data, including their servants, of data protection requirements.

### 15.5 Publication

#### 15.5.1 Public Consultation and publishing a Notice

Public Consultation is managed on behalf of the parties by the Data Governance Unit in OGCI0. Each of the proposed parties will be required to publish, on the same date as the consultation, a notice on their website that they are proposing to enter into the DSA. They should state the documents that are accessible to the public and link to their relevant DSA and DPO statements published on the public consultations website. This notice should invite submissions and include the date of publication of the notice.



#### 15.5.2 Publishing Executed DSA

After each of the Data Governance Board recommendations have been addressed by the parties and after this Data Sharing Agreement has been signed by appropriate Authorised Signatories, the Lead Agency in respect of this Data Sharing Agreement shall publish a copy of the final agreement on a website maintained by it as soon as practicable after sending a copy of the agreement to the Data Governance Unit who will accept it on behalf of the Minister.

#### 15.6 Base Registries

In respect of this Data Sharing Agreement, where the personal data disclosed is contained in a Base Registry, the Base Registry owner will take on the role of Lead agency.



## 16. Schedule A - Data Protection Impact Assessment

If a data protection impact assessment (DPIA) has been conducted in respect of the data sharing to which this Data Sharing Agreement relates, a summary of the matters referred to in [Article 35\(7\)](#) of the GDPR is required to be filled in the table below.

OR

If a data protection impact assessment has not been conducted as it is not mandatory where processing is not “likely to result in a high risk to the rights and freedoms of natural persons” ([Article 35](#) of the GDPR), outline the reasons for that decision in the table below.

DPIA		SUMMARY OF DATA PROTECTION IMPACT ASSESSMENT
Has been conducted [select appropriately]	<input type="checkbox"/>	[To include a summary of the matters referred to in <a href="#">Article 35(7)</a> GDPR]
Has not been conducted [select appropriately]	<input checked="" type="checkbox"/>	<p>[A Data Protection Impact Assessment has been deemed unnecessary for the Data Sharing Agreement Between Department of Education And Department of Children, Equality, Disability, Integration and Youth for the Sharing of Personal Data for the purpose of providing DE with data on early learning and care settings to allow the DE Early Years Education Inspectorate to carry out inspections of the quality of educational provision in those settings. The data being shared is a list of ELC services and their registered providers. This information is publicly available on the Tusla Early Years register and so the sharing of the data is not “likely to result in a high risk to the rights and freedoms of natural persons”.</p> <p>The data shared under this agreement does not include any aspects that would require a mandatory DPIA.</p>

Table 9.0

**Note:** If the Data Sharing Agreement is amended to reflect a change in the scope, form or content of the data processing, then there is an obligation on the data controllers to consider whether the changes give rise to a high risk to the rights and freedoms of natural persons, such that a DPIA should be carried out.

Under [S.20\(4\)](#) of Data Sharing and Governance Act, an amended draft agreement must be submitted for review to the Data Governance Board in accordance with Part 9, Chapter 2 of the Data Sharing and Governance Act.





## 17. Schedule B

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### 17.1 Necessary for the Performance of a Function

Outline the reasons why the disclosure of information under this agreement is necessary for the performance of the relevant function and explain why it is proportionate in that context.

The DE EYEI, on behalf of the Minister for Children, Equality, Disability, Integration and Youth, provides quality assurance services in relation to the education dimension of early learning and care settings receiving funding from DCEDIY. To schedule and carry out these inspections, the DE EYEI must receive the list of early learning and care settings that receive funding from DCEDIY.

### 17.2 Safeguards

Summarise the extent to which the safeguards applicable to the data shared under this agreement are proportionate, having regard to the performance of functions by the Parties and the effects of the disclosure on the rights of the data subjects concerned.

The personal data being shared through this agreement relates to registered providers of Early Learning and Care services, including sole traders. This information is already publicly available on the Tusla Early Years register. DCEDIY is providing a subset of the information available on the public register in which only services that are in receipt of DCEDIY funding are included.



## 18. Schedule C

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### 18.1 List of Parties to this Agreement

Set out the names of all the Parties to the agreement.

As required under [S.21](#) (3)(a), (b) and (c) of the Data Sharing and Governance Act 2019, this Schedule must be updated by the Lead Agency to include any Parties who have joined the agreement by way of an Accession Agreement, and to remove any Party that has withdrawn from the agreement. The Lead Agency must notify the other Parties of any amendments to this Schedule and the Data Governance Board.

<b>Lead PSB</b>	Department of Children, Equality, Disability, Integration and Youth
<b>PSB</b>	Department of Education



## 19. Authorised Signatory

An authorised signatory is required to sign this Data Sharing Agreement after all recommendations made by the Data Governance Board have been addressed and before the Data Sharing Agreement can be executed.

This signatory has the role of accountability for the data sharing defined in this Data Sharing Agreement and holds the post of Principal Officer (equivalent) or above.

The Parties hereby agree to their obligations pursuant to this Data Sharing Agreement for the transfer of personal data as described in this Data Sharing Agreement.

### 19.1 Lead Agency

LEAD AGENCY			
<b>Signature:</b>	Toby Wolfe	<b>Date:</b>	14/12/2022
<b>Print Name:</b>	TOBY WOLFE		
<b>Position held:</b>	Principal Officer, Quality Unit		
<b>Email:</b>	toby.wolfe@equality.gov.ie		
<b>For and on behalf of:</b>	DCEDIY		

Table 19.0

### 19.2 Other Party/Parties

OTHER PARTY			
<b>Signature:</b>	Maresa Duignan	<b>Date:</b>	14/12/2022
<b>Print Name:</b>	MARESA DUIGNAN		
<b>Position held;</b>	ASSISTANT CHIEF INSPECTOR		
<b>Email:</b>	Maresa_Duignan@education.gov.ie		
<b>For and on behalf of:</b>	DEPARTMENT OF EDUCATION INSPECTORATE		

Table 19.1



## Data Protection Officers Statement

This Statement is separate to the Data Sharing Agreement. It is required by law under section 55(1)(d) of the Data Sharing and Governance Act 2019. The Data Protection Officers in each proposed Party must sign and complete this statement before the Data Sharing Agreement is submitted to the Data Governance Unit for Public Consultation and again at execution stage. This statement will be published on a public website.

The Data Protection Officers in each proposed Party to this Data Sharing Agreement must ensure that they:

- i. have reviewed the proposed agreement, and
- ii. are satisfied that compliance by the proposed Parties with the terms of the proposed agreement would not result in a contravention of data protection law,
- iii. are satisfied that the agreement is consistent with Article 5(1) of the GDPR

The Parties hereby agree to their obligations pursuant to this Data Sharing Agreement for the transfer of personal data as described in this Data Sharing Agreement.

### Lead Agency DPO Statement

LEAD AGENCY DATA PROTECTION OFFICERS STATEMENT			
I have reviewed the proposed agreement		<input checked="" type="checkbox"/>	
I am satisfied that compliance by the proposed Parties with the terms of the proposed agreement would not result in a contravention of data protection law		<input checked="" type="checkbox"/>	
I am satisfied that the agreement is consistent with Article 5(1) of the General Data Protection Regulation		<input checked="" type="checkbox"/>	
Signature:	<i>Alan Savage</i>	Date:	14/12/2022
Print Name:	Alan Savage		
Position:	Data Protection Officer		
Email:	alan.savage@equality.gov.ie		
For and on behalf of:	Department of Children, Equality, Disability, Integration and Youth		

Table 19.2



## Other Party/Parties DPO Statement

OTHER PARTY DATA PROTECTION OFFICER STATEMENT	
I have reviewed the proposed agreement	<input checked="" type="checkbox"/>
I am satisfied that compliance by the proposed Parties with the terms of the proposed agreement would not result in a contravention of data protection law	<input checked="" type="checkbox"/>
I am satisfied that the agreement is consistent with Article 5(1) of the General Data Protection Regulation	<input checked="" type="checkbox"/>
Signature:	Tara Carton
Date:	14/12/22
Print Name:	Tara Carton
Position:	Data Protection Officer
Email:	dpo@education.gov.ie
For and on behalf of:	[Department of Education

Table 19.3